

10/0006/166

122/130 #6

Pet for
Retro
11-6-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
LAZAREV
Serial No. 10/006,166
Filed: December 4, 2001
For: *Liquid Crystal Information Displays*

**PETITION FOR RETROACTIVE
LICENSE UNDER 37 CFR 5.25**

Date: July 24, 2002

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Attention: Licensing and Review, Assistant Commissioner for Patents, Washington, D.C. 20231, on July 24, 2002.

Signed: Claudia Galik
Claudia Galik

Attn: Licensing and Review
Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

1. Petition

It is respectfully requested that this petition for license for foreign filing attached hereto be granted retroactively under the provisions of 37 C.F.R. 5.25.

2. Material Filed Abroad Without A License

Attached hereto are copies of the following patent applications:

(1) Russian Federation Patent Application Serial No. 2000130482 filed on December 6, 2000;

(2) PCT Application Serial No. PCT/US01/146675 filed 5 December 2001

3. Identification Of Inventor(s), Title Of Invention

Title: Liquid Crystal Information Displays

Inventor: Pavel Lazarev, 52 Rinconada Circle, Belmont, California 94002

07/31/2002 DATES 00000007 10006166

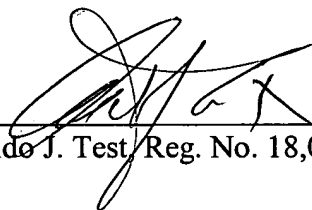
01 FC:122 4. Fee 130.00 OP

The fee for this petition for retroactive license is being paid by Dorsey & Whitney LLP.
Attached is our check in the amount of \$130.00.

The Commissioner is hereby authorized to charge the above fees and another other fees determined to be due in connection with this communication to our Deposit Account No. 06-1300 (order no. G-64812/AJT).

Respectfully submitted,

By:


Aldo J. Test, Reg. No. 18,048

DORSEY & WHITNEY LLP
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Facsimile: (650) 494-8771



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
Pavel Lazarev

**DECLARATION
OF ALLA SAKHAROVA**

For: Liquid Crystal Information Displays

Attn: Licensing and Review
Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Alla Sakharova declares as follows:

- 1) She is the intellectual property portfolio manager for Optiva, Inc., Assignee of the above-entitled Russian application.
- (2) The subject matter of the patent application was not under a secrecy order at the time that it was filed abroad, and it not currently under a secrecy order.
- (3) This license is being diligently sought after she was made aware of the requirement of a license for filing in a foreign country an application for an invention made in the United States.
- (4) Pavel Lazarev was Russian citizen.
- (5) Pavel Lazarev traveled between the United States and Russia.
- (6) It is not clear, in fact, where the invention was made by Pavel Lazarev.
- (7) The patent was filed abroad because the inventor was Russian citizen and the corporate patent attorney is based in Russia.
- (8) The company and she were ignorant of the requirement that a license for foreign filing was needed before filing applications for inventions made in the United States in a foreign country.

(9) The patent application was filed abroad through error and without deceptive intent without the necessary license having been obtained.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code, and that such willful false statements may jeopardize the validity of the above-identified patent.

Date: July 16, 2002

Signature: 
Alla Sakharova, Ph.D.

Title: Intellectual Property Portfolio Manager
for Optiva, Inc.